



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Initial application: Liu et al.

Serial No.: 09/832,438

Filed: April 10, 2001

For: Apparatus and Methods for Maximizing
Service-Level-Agreement Profits

§ Group Art Unit: 2152

§ Examiner: Unknown

§ Attorney Docket No.: YOR920010031US1

§

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to:
Assistant Commissioner of Patents, Washington, D.C. 20231 on

7-20-01

By: Kate I. Campbell
Kate I. Campbell

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

A Notice to File Missing Parts of Nonprovisional Application was received by Applicant stating that the oath or declaration was missing. A Declaration and Power of Attorney is filed herewith.

A fee of \$130.00 is due at this time. Please charge this fee to Deposit Account No. 50-0510. No additional fee are believed to be necessary at this time. However, in the event that any additional fees are required for the prosecution of this application, please charge any necessary fees to Deposit Account No. 50-0510. No extension of time is believed to be necessary. If, however, an extension of time is needed, the extension is requested. Please charge the fee for this extension to Deposit Account No. 50-0392.

Respectfully submitted,


Duke W. Yee
Registration No. 34,285
Carstens, Yee & Cahoon, LLP
P.O. Box 802334
Dallas, Texas 75380
(972) 367-2001
ATTORNEY FOR APPLICANT



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Specification of: Liu et al.

§ Group Art Unit: 2152

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7-20-01.

By: *Kate I. Campbell*
Kate I. Campbell

TRANSMITTAL DOCUMENT

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

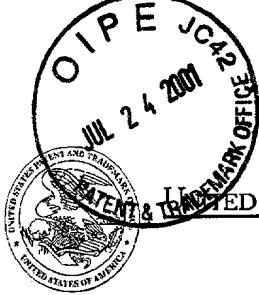
ENCLOSED HEREWITH:

- Response to Notice to File Missing Parts of Nonprovisional Application;
- Copy of Notice to File Missing Parts of Nonprovisional Application;
- Declaration and Power of Attorney;
- Letter to the Official Draftsman;
- 6 sheets of drawings; and
- Our return postcard.

No fees are believed to be required. However, in the event that any fees are required for the prosecution of this application, **please charge the necessary fees to Deposit Account No. 05-0510**. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0392.

Respectfully submitted,

Duke W. Yee
Duke W. Yee
Registration No. 34,285
CARSTENS, YEE & CAHOON, LLP
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(972) 367-2001
ATTORNEY FOR APPLICANT



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/832,438	04/10/2001	Zhen Liu	YOR920010031US1

CONFIRMATION NO. 3807

FORMALITIES LETTER



OC00000006121299

Carstens, Yee and Cahoon, L.L.P.
 P.O. Box 802334
 Dallas, TX 75380

Date Mailed: 05/29/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY